

Compliance Associate - Regulatory Affairs

Sompo International Holdings Ltd. (Sompo International) is a global specialty provider of property and casualty insurance and reinsurance. Sompo International companies are wholly owned subsidiaries of Sompo Holdings, Inc., whose core business encompasses one of the largest properties and casualty insurance groups in the Japanese domestic market.

Through our operating subsidiaries, Sompo International underwrites agriculture, professional lines, property, aviation, marine and energy, financial and political risks and casualty and other specialty and catastrophe lines of insurance and reinsurance. Headquartered in Bermuda, we currently also have offices in the United States, the United Kingdom, Continental Europe, Latin America, the Middle East, and Asia.

Sompo International is a company driven by its core values, a carrier that holds promise, trust, and the commitment to protect at the center of everything we do. We recognize that our success is derived directly from those who matter most: our people. Our culture is defined by a commitment to integrity, teamwork, agility, execution, and excellence; and we strive to create exceptional value for our clients and shareholders and maintain Sompo International as a desirable place to work.

We are seeking a motivated compliance professional to join our compliance team. This represents an excellent opportunity to be engaged in regulatory and compliance work for an insurance group with operations in the UK and EU. The successful candidate will be regularly dealing with senior members of the organization and gaining enhanced exposure to regulatory issues in a rewarding work environment.

The successful applicant will have a keen interest in the general insurance industry and regulatory affairs. The role will focus on providing front-line advice to members of the business on compliance issues as well as operating key compliance processes to ensure regulatory requirements are met. A good working knowledge of the London insurance market would be an advantage.

Responsibilities include:

- Assist in ensuring that the compliance plan is delivered, and systems and procedures are in place throughout the business to enable compliance with PRA, FCA, and other statutory requirements.
- Conduct relevant compliance and exception reporting, maintaining a strong audit trail of findings, and escalating issues where appropriate.
- Assist with all regulatory reviews of the business, including work to address any requirements post-review.
- Advising the business on ad-hoc queries in relation to compliance issues in a manner that is helpful but mindful of the need to maintain robust standards.
- Assist with some company secretarial matters.
- Assist the Head of Compliance in ensuring the business remains in compliance with all Financial Crime issues.
- Assist with regulatory and other projects as required according to business needs.
- Assist with the approval and on-going monitoring of intermediaries.
- To undertake such other duties as may be assigned to you within the scope of the role.

Desired Skills & Experience:

The candidate needs to:

- Educated to degree level, legal qualification desirable but not essential



- Experience of working with Board-level executives and non-executives in a professional and collaborative manner
- The ability to actively participate in tasks while also leading, taking responsibility, and using own initiative
- Sound working knowledge of Microsoft Office
- Excellent organisational skills with attention to detail
- Problem solving skills
- Excellent interpersonal and written communication skills
- Ability to work within a collaborative, team-oriented environment on a global basis

Sompo International offers a competitive compensation and benefits package commensurate with experience.

Sompo International is an equal opportunity employer committed to a diverse workforce.

Visit our website at www.sompo-intl.com