

## UNITED STATES

# Financial Institutions

Sompo's Financial Institutions team provides a wide range of specialized insurance solutions for traditional financial institutions and alternative asset managers. Our experienced and dedicated underwriting team has the expertise to provide clients with a level of service and understanding that is unrivaled in the industry. With our one One Sompo approach, we bring the full strength of Sompo to the table — not just products, but total risk solutions. Clients benefit from a unified experience that addresses all their exposures in one place, with one team, and one vision.

## Coverage features

### SIGNIFICANT CAPACITY

- \$25M capacity

### TARGET ATTACHMENT

- Primary or excess attachments offered

### TARGET CLASSES

Our U.S. Financial Institutions team considers clients of all sizes, both public and private, with a focus on alternative asset managers, such as investment advisors, hedge funds, private equity funds, real estate funds, BDCs, and REITS. We also seek traditional risks such as banks, insurance companies and mutual funds.

### MULTINATIONAL BUSINESS UNIT

Offers companies with business operations in multiple countries an industry-leading platform for managing risk. Leveraging licensing capabilities from Sompo companies around the world and a growing network of third-party partners, our Multinational platform offers a convenient, consistent approach to accessing global coverage that is compliant with local regulations in over 150 countries.

### EXCESS FOLLOW FORM

- Lead Side-A "DIC" Policy
- Alternative Investment Professionals Policy
- Public/Private Company Directors & Officers Liability
- Employment Practices Liability
- Fiduciary Liability
- Fidelity/Crime (excess only)
- Private Equity/Venture Capital Policy
- Investment Advisor/Mutual Fund Policy
- Manuscript policies considered

### INTEGRATED SOLUTIONS

Ability to deliver **truly integrated solutions** across business units — from Commercial Management Liability (CML) and Professional Liability (PRO) to Cyber and Errors & Omissions (E&O). We don't just offer standalone policies; we build connected programs that reflect the complexity of financial institutions today.

### NATIONAL REACH

Our geographically segmented structure ensures that clients benefit from **dedicated zonal leadership** across key U.S. territories. These regional experts bring deep market knowledge and responsive service, while working in lockstep with our national underwriting strategy — delivering consistent coverage and tailored solutions wherever our clients operate.



**CLAIMS**

Sompo is committed to providing outstanding claims service that delivers:

- Value-added expertise from product and industry specialists
- Fair and appropriate outcomes for our insureds
- Efficient service, timely responses and fast payment of agreed claims

We achieve this by establishing our claims team as an integral component of the underwriting process and aligning our claims professionals with each of our product lines and geographies.

- With a focus on comprehensive, proactive solutions, we work hand in hand with our clients to ensure that their risk management strategies align with their investment objectives, providing peace of mind and enhanced financial security.

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**About Sompo**

We are Sompo, a global provider of commercial and consumer property, casualty, and specialty insurance and reinsurance. Building on the 135 years of innovation of our parent company, Sompo Holdings, Inc., Sompo employs approximately 9,500 people around the world who use their in-depth knowledge and expertise to help simplify and resolve your complex challenges. Because when you choose Sompo, you choose **The Ease of Expertise™**.

"Sompo" refers to the brand under which Sompo International Holdings Ltd., a Bermuda-based holding company, together with its consolidated subsidiaries, operates its global property and casualty (re)insurance businesses. Sompo International Holdings Ltd. is an indirect wholly-owned subsidiary of Sompo Holdings, Inc., one of the leading property and casualty groups in the world. Shares of Sompo Holdings, Inc. are listed on the Tokyo Stock Exchange.

**A+**

Financial Rating:  
A.M. Best (Superior)  
S&P (Strong)