

Sompo International's U.S. Financial Institutions team provides a wide range of specialized insurance solutions for traditional financial institutions and alternative asset managers. Our experienced and dedicated underwriting team has the expertise to provide clients with a level of service and understanding that is unrivaled in the industry.



## Coverage Features

### **SIGNIFICANT CAPACITY**

- \$25M capacity

### **TARGET ATTACHMENT**

- Primary or excess attachments offered

### **TARGET CLASSES**

Our U.S. Financial Institutions team considers clients of all sizes, both public and private, with a focus on alternative asset managers, such as investment advisors, hedge funds, private equity funds, real estate funds, BDCs, and REITS. We also seek traditional risks such as banks, insurance companies and mutual funds.

### **UNDERWRITING/CLAIMS CAPABILITIES**

- Underwriting team has extensive experience in all asset classes
- Coordination between the underwriting and claims teams ensures a consistent and fair approach
- Highly skilled claims team with specialized experience in handling financial institutions claims

### **POLICY FORMS**

- Excess Follow Form
- Lead Side-A "DIC" Policy
- Alternative Investment Professionals Policy
- Public/Private Company Directors & Officers Liability
- Employment Practices Liability
- Fiduciary Liability
- Fidelity/Crime (excess only)
- Private Equity/Venture Capital Policy
- Investment Advisor/Mutual Fund Policy
- Manuscript policies considered

## KEY CONTACTS

### Ray Santiago

Executive Vice President,  
Head of Financial Lines  
T +1 917 281 0722  
[rasantiago@sompo-intl.com](mailto:rasantiago@sompo-intl.com)

### Joseph Spallone

Executive Vice President, President  
of Commercial Management Liability  
T +1 212 471 5548  
[jspallone@sompo-intl.com](mailto:jspallone@sompo-intl.com)

### Kim Iacocca

Senior Vice President,  
Head of Financial Institutions  
T +1 212 471 2784  
[kiacocca@sompo-intl.com](mailto:kiacocca@sompo-intl.com)

### Deirdre Martin

Executive Vice President, CUO,  
Financial Lines  
T +1 212 897 6618  
[dmartin@sompo-intl.com](mailto:dmartin@sompo-intl.com)

### Thomas Ruck

Vice President  
T +1 646 253 3051  
[truck@sompo-intl.com](mailto:truck@sompo-intl.com)

### MID ATLANTIC / SOUTHEAST / NORTHEAST

#### John Meade

Vice President  
T +1 646 253 3067  
[jmeade@sompo-intl.com](mailto:jmeade@sompo-intl.com)

### MIDWEST

#### Courtney Burns

Senior Vice President  
T +1 917 421 4993  
[cburns@sompo-intl.com](mailto:cburns@sompo-intl.com)

### WEST

#### Kevin O'Connor

Vice President  
T +1 212 471 5514  
[koconnor@sompo-intl.com](mailto:koconnor@sompo-intl.com)

### CLAIMS

#### Jeremy Salzman

Senior Vice President,  
Head of Commercial Management Liability  
& Financial Institutions Claims  
T +1 908 376 0845  
[jsalzman@sompo-intl.com](mailto:jsalzman@sompo-intl.com)

#### Lisa Jacobson

Vice President,  
Head of Financial Institutions Claims  
T +1 516 881 4815  
[ljacobson@sompo-intl.com](mailto:ljacobson@sompo-intl.com)

### Claims Submissions:

[insuranceclaims@sompo-intl.com](mailto:insuranceclaims@sompo-intl.com)

### Loss Run Request:

[insuranceoperationssupport@sompo-intl.com](mailto:insuranceoperationssupport@sompo-intl.com)

## About Sompo International

Sompo International Holdings Ltd. (Sompo International) is a global specialty provider of property and casualty insurance and reinsurance, headquartered in Bermuda. Sompo International companies are wholly owned subsidiaries of Sompo Holdings, Inc., whose core business encompasses one of the largest property and casualty insurance groups in the Japanese domestic market. Sompo International is a company driven by its core values, a carrier that holds promise, trust and the commitment to protect at the center of everything it does. We maintain excellent financial strength as evidenced by the ratings of A+ (Superior) from A.M. Best (XV size category) and A+ (Strong) from Standard and Poor's on our principal operating subsidiaries. For more information about Sompo International, please visit [www.sompo-intl.com](http://www.sompo-intl.com).



To learn more, visit us at [sompo-intl.com](http://sompo-intl.com)