

SOMPO GLOBAL RISK SOLUTIONS

Financial Institutions Risk Control



Risk varies widely across the financial industry and we tailor our risk control services to your organization's operations, market environment and priorities.

There aren't many industries which are regulated as closely as the financial industry. While product offerings and client satisfaction are a top priority, understanding and complying with the rules and regulations of the FDIC, SEC, FINRA, OTS, FCRA, etc. is a critical yet time consuming function. Each agency has their own detailed requirements, yet there is a common thread across regulators – they seek to ensure that financial companies provide adequate protection for key stakeholders.

Our dedicated team of risk specialists provides effective tools, specifically tailored to financial companies, to protect your clients, employees and investors from known and emerging threats. Are you comfortable with your company's protocol and procedures for the following areas?

- Workplace Violence Programs
- Crisis Management/ Disaster Recovery
- Business Continuity
- Ergonomic Assessments (Office Workstation Design)



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Sompo Global Risk Solutions Capabilities

We understand that risk varies widely across the financial industry and we tailor our risk control services to your organization's operations, market environment and priorities. We combine decades of experience and knowledge of industry trends with a collaborative approach to assist our clients to develop comprehensive and practical risk management programs. By focusing on major loss sources, our approach results in faster restoration of normal operations after an event and reduction in accident and workers' compensation claim frequency and severity. In addition, reinforcement of a safety culture across the organization and improvements to employee morale and productivity can have direct benefits to the protection of your employees, assets and customer base.

A well written risk management program that addresses all key elements, tailors risk mitigation approaches and develops risk mitigation goals is critical to prepare for the unexpected – such as building fire, theft, workplace violence, adverse weather and cyber risks. Equally important is ensuring that your team is well versed in best practices and safety procedures and has received adequate training to implement the planned response.



We also provide the following services tailored for our financial industry clients:

- Main Entrance & High Foot Traffic Area Assessment
- Ergonomic Assessment
- Safety Responsibility & Accountability Assessment
- Development of Client Specific General Liability, Property, or Workers Compensation Self-Inspection Forms
- Accident and Workers' Compensation Investigation Training (in person or webcast)
- Risk Control Surveys (Client Specific Evaluation of Management Safety Systems)
- Material Handling Training for Maintenance Activities

FOR MORE INFORMATION, CONTACT:

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