

## Join our TEAM



VP, Senior Global Compliance Officer

Sompo International Holdings Ltd. (Sompo International) is a global specialty provider of property and casualty insurance and reinsurance. Headquartered in Bermuda, we currently have offices in the United States, the United Kingdom, Continental Europe, and Asia. Sompo International companies are wholly owned subsidiaries of Sompo Holdings, Inc., whose core business encompasses one of the largest property and casualty insurance groups in the Japanese domestic market.

Sompo International is a company driven by its core values, a carrier that holds promise, trust, and the commitment to protect at the center of everything it does. We maintain excellent financial strength as evidenced by the ratings of A+ (Superior) from A.M. Best (XV size category) and A+ (Strong) from Standard and Poor's on our principal operating subsidiaries.

As a leading global provider of insurance and reinsurance, we recognize that our success is derived directly from those whose contributions matter most: our people. A shared commitment to integrity, accountability, collaboration and agility define our culture, and we strive to create exceptional value for our clients and shareholders and maintain Sompo International as a desirable place to work.

## Summary:

We are seeking **VP**, **Senior Global Compliance Officer** for our Compliance team, reporting directly to the Chief Compliance Officer (CCO). The ideal candidate would be in a Lead or Senior Compliance role in the insurance/financial services industry with international experience. The CCO is based in New York; however, this position can be based in one of our offices in the US, Europe, London or Bermuda. This senior position is global in nature and will have responsibility for supporting the Chief Compliance Officer with multiple tasks, projects, management reporting, presentations, analysis, risk and gap assessments, general compliance initiatives and communications, building out a global compliance program, P&C regulatory and corporate compliance, data privacy, and permeating a culture and community of compliance globally.

## Main areas of responsibility

- Help build out a world-class compliance and ethics program commensurate with the growth and size and risk profile of Sompo International.
- Be a trusted advisor and partner to the Global Chief Compliance Officer and the entire Compliance organization.
- Act as the independent compliance partner to multiple business units and responsible for ensuring that there is a strong and robust Compliance Program in place that appropriately addresses the regulatory risks relative to the business activities of Sompo International.
- Active engagement as a compliance leader globally to provide compliance advice, monitoring and oversight.
- Assist with building out a strong risk framework, governance, standards, policies, and structure for the compliance organization globally.
- Build positive working relationships with other key control functions and Senior Leadership, including Legal, Internal Audit and Risk Management.
- Assist with the compliance risk assessment and other gap assessments of the global entities.
- Assist in all corporate compliance and other related matters for Sompo's various businesses and subsidiaries.
- Research applicable laws, rulings and regulations and determine how they apply to Sompo International.
- Assist with training deployment, compliance education, monitoring and testing, liaison with other departments and building a compliance community to support a growing international business group.

- Provide assistance and support to prepare the CCO to present to various boards, committees, senior management, and provide high-level analysis in support.
- Provide implementation support to the business units and other departments to ensure the company remains compliant.
- Assist with designing and implementing a strong compliance and ethics framework including tools that will support building, including policies, procedures, controls, training, monitoring and management reporting to prevent, detect and respond to potential violations of law, regulation or company policy.
- Act as a strong strategic advisor to the CCO globally, provide advisory support to management and employees, and act as a conduit for internal compliance inquiries.
- Assist with engagement with regulators, industry associations, and other industry experts to formulate company positions on key regulatory and policy matters of import to the Company and become familiar with emerging trends that could impact the company.
- Gain an understanding of the Japanese compliance framework and priorities.
- Assist with development of monitoring and other management reports to maintain oversight of key compliance issues at the company.

## Qualifications, skills & experience

- JD degree or equivalent with excellent academic credentials, preferable Bar admission.
- 10+ years of experience at a law firm or in-house at a re/insurance company, preferably with Property & Casualty experience.
- Experience managing people and high-level projects, preferably implementing corporate compliance programs or processes.
- Experience interacting with regulatory authorities, market conduct examinations, enforcement proceedings, etc.
- Excellent interpersonal, written and oral communications skills, attention to detail and time management skills.
- Excellent project management skills, well organized, with the ability to thrive in a fast-paced collaborative work environment and manage numerous projects simultaneously under deadline pressure.
- High-level of intellectual, professional and interpersonal agility and flexibility, combined with strong analytical and problem-solving skills.

- Team oriented and able to work collaboratively and effectively with business clients and other legal department members across geographies and cultures.
- Experience and knowledge in insurance industry.
- Professional demeanor and team player with high degree of confidentiality.
- Experience advising international clients or international experience a plus.
- Expert in Microsoft Office Suite, PowerPoint, Excel and other pertinent tools.
- Strong emotional intelligence, ability to build trust and confidence.
- Willingness to work until the assignment is professionally complete and strong commitment to excellent work product.

For consideration, please e-mail your resumé to <a href="mailto:careers-bermuda@sompo-intl.com">careers-bermuda@sompo-intl.com</a> or hand deliver to Sompo International, Waterloo House, 100 Pitts Bay Road, Pembroke, HM08. Attention: Human Resources.

Closing date: 30 June 2023

